

Attachment A

CSBG Risk Assessment Tool				
No.	Criteria	Points	Weighted Multiplier	Value
Management/Fiscal Operations				
1	Agency Questionnaire		2	
2	Average Percentage of Organizational standards met over 12 months		12	
3	Accuracy and timeliness of budgets		2	
4	FSRs		2	
5	Invoices		1	
6	Percent of rollover funds		4	
7	Single audit		1	
8	Changes in staff		3	
9	Staff capacity		7	
Program Planning and Evaluation				
10	ROMA compliance		4	
11	Workplan submissions		2	
12	Goals met		2	
13	Target accuracy		3	
14	Status of other agency programs		2	
Contract Compliance				
15	CSBG Subcontractors		2	
16	Subcontractor monitoring		3	
17	Data collection systems		5	
18	CSBG Monitoring corrective action		5	
19	Other state or federal subcontracted programs		2	
20	Financial management monitoring		3	
Board Compliance				
21	Tripartite board composition		12	
22	ROMA Training		3	
23	Financial Reports		5	
24	Quorum		4	
Communication/Reporting				
23	Symposiums and webinars		2	
24	Timely responses to state requests		2	
25	COPOS Reporting		5	
			Total Points:	
EACH DESCRIPTOR/CRITERIA IS WORTH 0-3 POINTS:				
0 Points = Compliant 100%				
1 Point = Compliant 90%				
2 Points = Compliant 50%				
3 Points = Compliant less than 50%				
	Low Risk (Level 1) -All of the time with at most one exception (1-100 points)			
	Medium Risk (Level 2) -Most of the time with at least two or more exceptions (101-200 points)			
	High Risk (Level 3) -Never or inconsistently (201-300 points)			